

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**



PCT/GB00/02881



INVESTOR IN PEOPLE

# PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

REC'D 18 AUG 2000

WIPO PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

GB00/02881

4

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed *Andrew Genge*  
Dated - 2 AUG 2000

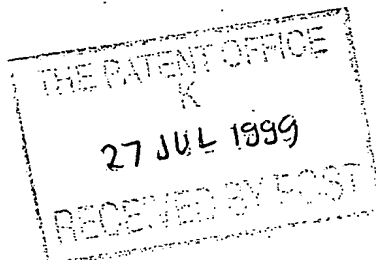
---

**THIS PAGE BLANK (USPTO)**

**THIS PAGE BLANK (USPTO)**

# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



The Patent Office

Cardiff Road  
Newport  
Gwent NP9 1RH

1. Your reference

JDH/2297GB

2. Patent application number

(The Patent Office will fill in this part)

9917461.7

3. Full name, address and postcode of the or of each applicant (underline all surnames)

SMITH & NEPHEW PLC  
2 TEMPLE PLACE  
VICTORIA EMBANKMENT  
LONDON WC2R 3BP

Patents ADP number (if you know it)

03969284001

If the applicant is a corporate body, give the country/state of its incorporation

UNITED KINGDOM

4. Title of the invention

HYDROGEN BONDED COMPOUNDS

5. Name of your agent (if you have one)

J D HOBBS

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

SMITH & NEPHEW GROUP RESEARCH CENTRE  
GROUP PATENTS & TRADE MARKS DEPARTMENT  
YORK SCIENCE PARK  
HESLINGTON  
YORK YO10 5DF  
UNITED KINGDOM

Patents ADP number (if you know it)

0116615003

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)

Date of filing  
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an applicant, or

c) any named applicant is a corporate body.

See note (d)

# Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filling with this form. Do not count copies of the same document

Continuation sheets of this form

Description

9

Claim(s)

Abstract

Drawing(s)

5 + 5

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

Date

26/07/99

J. D. HOBBS

12. Name and daytime telephone number of person to contact in the United Kingdom

J. D. HOBBS

01904 824000 - ext 4050

## Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

## Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.

## HYDROGEN BONDED COMPOUNDS

This invention relates to degradable polymer-like materials, in particular to such materials which are biodegradable, to precursors therefor and to artefacts made therefrom such as medical implant  
5 devices. More particularly the invention relates to polymer-like materials which can be formed into flexible constructs such as structural blocks, yarns and fibres.

---

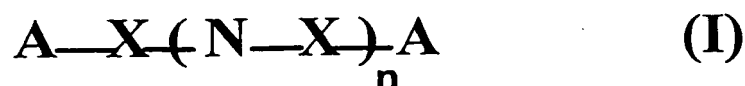
In the conventional understanding of the term polymer, literally, many units, the component sub-units or precursors, eg. monomers  
10 or oligomers are bonded together *via* covalent linkages to form a high molecular weight material. Degradation of the polymer into lower molecular weight species occurs by scission of the covalent bonds binding the sub-units or by scission of a bond within one or more of the sub-units. For materials to biodegrade, the scission  
15 mechanism is usually a hydrolytic reaction. For a covalently bound polymer artefact to biodegrade completely, the hydrolysis of the polymer may take several years. Thus such polymers may have limited use in environments where constructs made from such polymers are required to have a temporary existence. Even in those  
20 cases where hydrolysis of the covalent bond, for example an anhydride linkage, takes place rapidly there has been no ability to control the precise nature of the degradation product. Thus, in some instances it may be desirable to degrade the polymer to lower molecular weight, non-toxic molecules, such as carbon dioxide and  
25 water, but in others it may be desired to form degradation products which are, themselves, beneficial, for example, exhibit a pharmaceutical effect.

Thus the present invention seeks to provide a class of materials which are capable of being formed into artefacts and yet

can be degraded in a predictable and controlled manner to form predictable fragments.

The materials of the present invention are characterised in that although they are polymer-like, the precursor residues are bonded to each other not by covalent bonds but by hydrogen bonds.

In accordance with the present invention there is provided a compound of the general formula (I):



where:

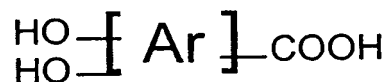
**A** may be the same or different and is a moiety containing at least four hydrogen bond donor and/or acceptor sites,

**N** may be the same or different and is a moiety containing at least one hydrogen bond donor and/or acceptor,

**X** may be the same or different and is a difunctional spacer linkage or unit and **n** is an integer having a value of at least one.

The moieties **A** and **N**, containing the donor and/or acceptance sites or groups, may be any of those known *per se*. Preferred moieties are those which contain hydroxyl and/or carboxyl groups.

**A** is preferably an aromatic moiety, more preferably is an aromatic moiety of the general formula (II):

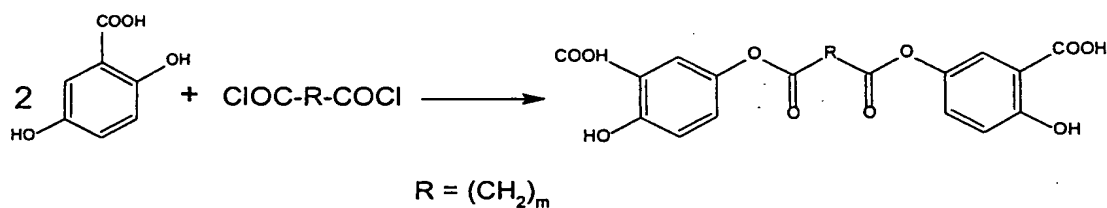


(II)

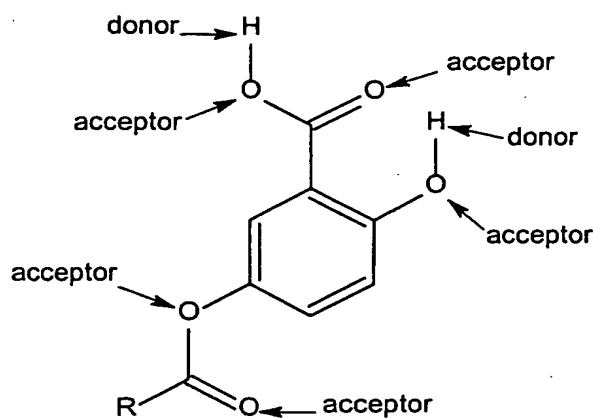


Where **Ar** is an unsubstituted or substituted aromatic nucleus  
e.g. phenyl or benzyl.

Preferred examples of compounds of Formula II are 2,5- and  
2,3-dihydroxybenzoic acids: moieties capable of site-specific  
5 reactivity with the moiety **X**. For example, when **X** is an alkyl diacid  
chloride,



10 The disposition of the terminal donor and acceptor sites in this  
compound may be represented thus



**N** is a moiety containing at least one hydrogen bond  
acceptance or donation site, aptly two or more hydrogen bond  
donation or acceptance sites, and may preferably contain at least  
15 three donors and/or acceptors. The moiety **N** may be the same or  
different as the moiety **A**. Aptly, where **A** and **N** are different, **A** may  
be 2,5-dihydroxybenzoic acid and **N** may be 3,5-dihydroxybenzoic  
acid.

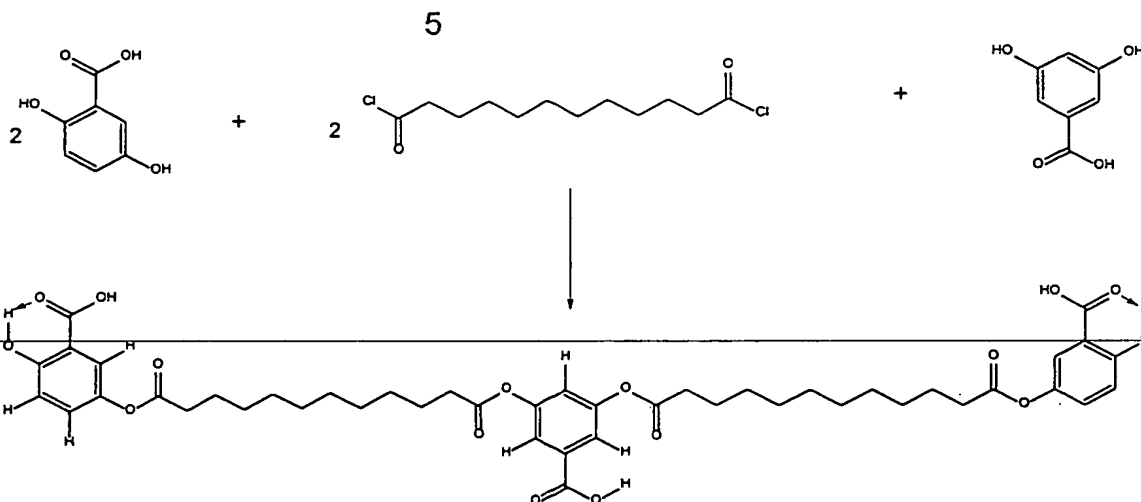
**X** is a difunctional linkage or residue and may be any moiety which does not have an adverse effect on the properties of the donor or acceptor groups. Suitably, **X** may comprise one or more groups which exhibit hydrophobic properties. Aptly, **X** will be a residue which will impart flexibility to aggregates, mixtures or polymers derived from compounds of the invention.

**X** is preferably comprised, in part or in total, of an alkylene group  $(\text{CH}_2)_m$  where  $m \geq 2$  and more preferably, an alkyl diacid, or functional derivative thereof, for example of the type,



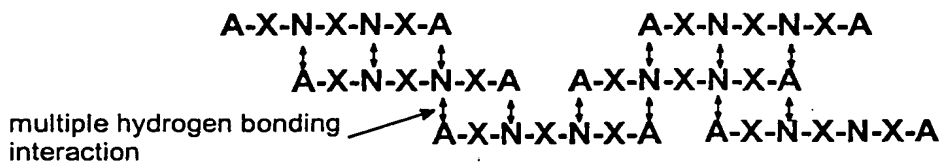
Aptly, the moiety **X** may be derived from long chain acid derivatives such as dodecandioyl dichloride, suberoyl chloride or sebacoyl chloride.

Reactants comprising the precursors of the moieties **A** and **N** and **X** are reacted to form covalent linkages between the species. The methods employed to carry out this reaction may be those conventionally employed. For example, **A** or **N** may be connected to **X** via an ester linkage by reacting **A** or **N**, comprising of at least one hydroxyl function, with an acid halide of **X** as shown by the following reaction scheme:



- Compounds and mixtures, as defined above, and displaying aggregative properties in solution and/or in the molten state will henceforth be referred to as 'press-stud oligomers'. Aggregation of press-stud oligomers *via* the interaction of hydrogen bonding sites **A** and **N** allows the melt extrusion of fibres at elevated temperatures (>50 °C). Fibres so formed are self adherent and flexible immediately after extrusion. Aggregation can be probed by <sup>13</sup>C NMR spectroscopy and viscometric measurements against reference compounds lacking some/all hydrogen bonding functions.

The fibre forming properties of such aggregates, whilst not fully understood, are believed to be related to the ability of the oligomers to align themselves under extrusion, as shown:



15

Press-stud oligomers are fibre-forming and may be composed of biocompatible and/or therapeutically active compounds (e.g. 2,5-dihydroxybenzoic acid) that are water soluble. Objects of the

present invention are press-stud oligomers, as defined above, the manufacture of these compounds and their applications as structural devices, drug delivery vehicles and adhesives, preferably medical devices.

5           Accordingly, the present invention further provides a composition of matter comprising an aggregate of at least one compound of Formula (I) herein.

          Preferably such aggregates are water soluble.

10           The present invention also provides artefacts formed from the compositions of matter as described herein.

          The invention will now be further described with reference to the following examples:

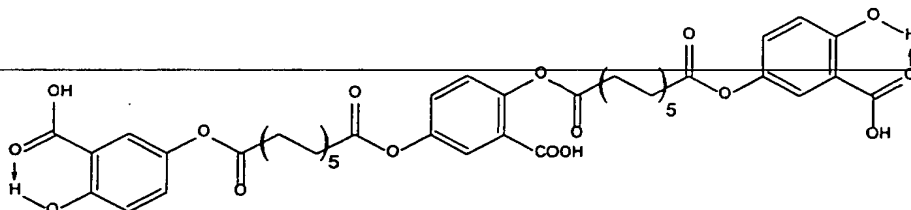
15           The composition or average structure of the materials exemplified below were confirmed by  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectroscopy ( $\text{d}_8\text{-THF}$ , 270 MHz), Electrospray mass spectrometry and IR spectroscopy. The  $^1\text{H}$  NMR spectroscopy characteristics for the materials produced are shown in the accompanying drawings in which

EXAMPLE	1	2	3	7
FIGURE	1	2	3	4

20           In all but example 3, the moieties **A** and **N** were the same: 2,5-dihydroxybenzoic acid (designated  $\text{G}^{25}$ ). In example 3, **A** was 2,5-dihydroxybenzoic acid ( $\text{G}^{25}$ ) and **N** was 3,5-dihydroxybenzoic acid ( $\text{G}^{35}$ )

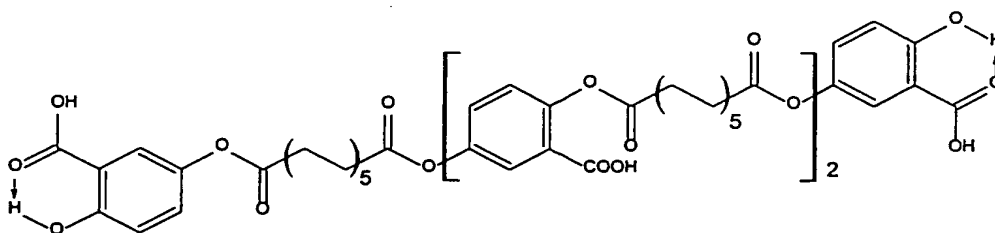
dihydroxybenzoic acid ( $G^{25}$ ) and **N** was 3,5-dihydroxybenzoic acid ( $G^{35}$ )

Example 1: Oligomers of the average structure ( $G^{25}_3D_2$ ):



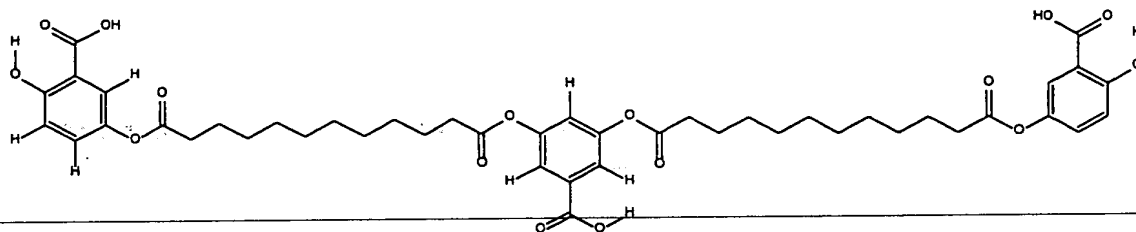
- 5 A magnetically stirred mixture of 4.435 g (29 mmol) of 2,5-dihydroxybenzoic acid and 5.126 g (19 mmol) of dodecanedioyl chloride (designated **D**) was heated to 150 °C. Following 10 minutes of heating at this temperature, the transparent viscous melt was cooled to room temperature and desiccated.

10 Example 2: Oligomers of the average structure ( $G^{25}_4D_3$ ):



- A magnetically stirred mixture of 2,5-dihydroxybenzoic acid (4.115 g, 27 mmol) and dodecanedioyl chloride (5.351 g, 20 mmol)  
15 was heated to 150 °C. Following 10 minutes of heating at this temperature, the transparent viscous melt was cooled to room temperature and desiccated.

Example 3: The discrete oligomer ( $G^{25}$ -D- $G^{35}$ -D- $G^{25}$ ):



A magnetically stirred mixture of 3,5-dihydroxybenzoic acid  
 5 (3.555 g, 23 mmol) and dodecanedioyl chloride (12.327 g, 46 mmol)  
 was heated to 150 °C. The mixture was stirred until effervescence  
 had subsided, after which 2,5-dihydroxybenzoic acid (7.110 g, 46  
 mmol) was added slowly. Following 10 minutes further heating at  
 this temperature, the transparent viscous melt was cooled to room  
 10 temperature and desiccated.

Example 4

$G^{25}_3Ad_3$  A magnetically stirred melt of 2,5-dihydroxybenzoic  
 acid (5.097 g, 33 mmol) and adipoyl chloride (6.053 g, 33 mmol)  
 15 (designated **Ad**) was heated to 150 °C. Following 10 minutes of  
 heating at this temperature, the transparent viscous melt was cooled  
 to room temperature and desiccated.

Example 5

20  $G^{25}_3Su_3$  A magnetically stirred melt of 2,5-dihydroxybenzoic  
 acid (3.055 g, 20 mmol) and suberoyl chloride (4.184 g, 49 mmol)  
 (designated **Su**) was heated to 150 °C. Following 10 minutes of  
 heating at this temperature, the transparent viscous melt was cooled  
 to room temperature and desiccated.

Example 6

**G<sup>25</sup><sub>3</sub>Se<sub>3</sub>** A magnetically stirred melt of 2,5-dihydroxybenzoic acid (2.995 g, 19 mmol) and sebacoyl chloride (4.647 g, 19 mmol) (designated **Se**) was heated to 150 °C. Following 10 minutes of heating at this temperature, the transparent viscous melt was cooled to room temperature and desiccated.

Example 7

**G<sup>25</sup><sub>3</sub>D<sub>3</sub>** A magnetically stirred melt of 2,5-dihydroxybenzoic acid (7.518 g, 49 mmol) and dodecanedioyl chloride (13.034 g, 49 mmol) was heated to 150 °C. Following 10 minutes of heating at this temperature, the transparent viscous melt was cooled to room temperature and desiccated.

15

To confirm the hydrogen-bonded basis for the melt observations, methylated acid derivatives of **G<sup>25</sup><sub>3</sub>D<sub>2</sub>**, **G<sup>25</sup><sub>4</sub>D<sub>3</sub>**, and **G<sup>25</sup><sub>3</sub>D<sub>3</sub>** were prepared, respectively: **MeG<sup>25</sup><sub>3</sub>D<sub>2</sub>**, **MeG<sup>25</sup><sub>4</sub>D<sub>3</sub>**, and **MeG<sup>25</sup><sub>3</sub>D<sub>3</sub>**. The viscosities of these six compounds were measured, in duplicate, at 150 °C. The viscosity measurements are plotted in the graph shown Figure 5.

20

It can be seen that viscosities in the **G<sup>25</sup>**-based compounds are greater, by an order of magnitude, than those measured for equivalent **MeG<sup>25</sup>**-based compounds.

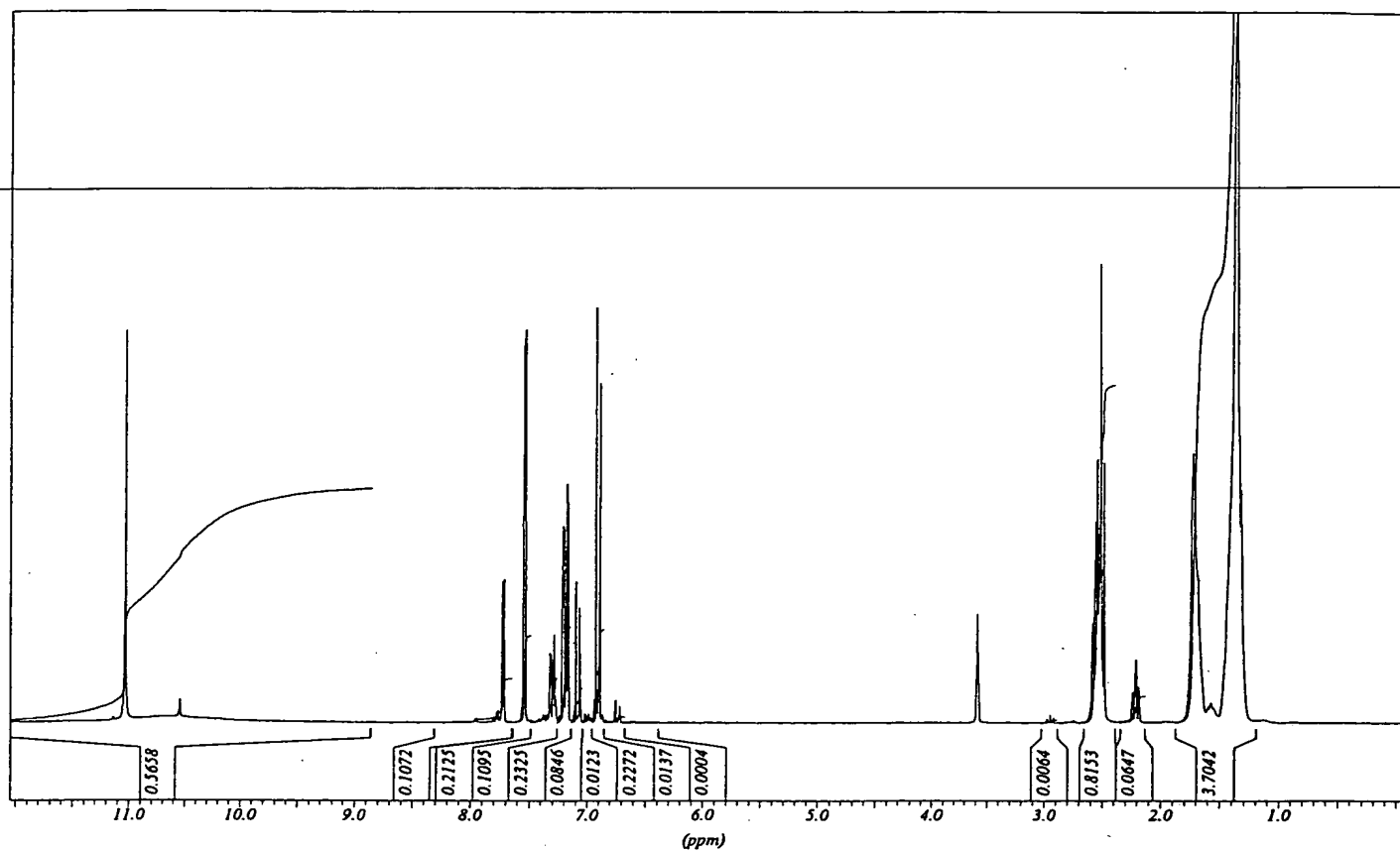
25

---

**THIS PAGE BLANK (USPTO)**



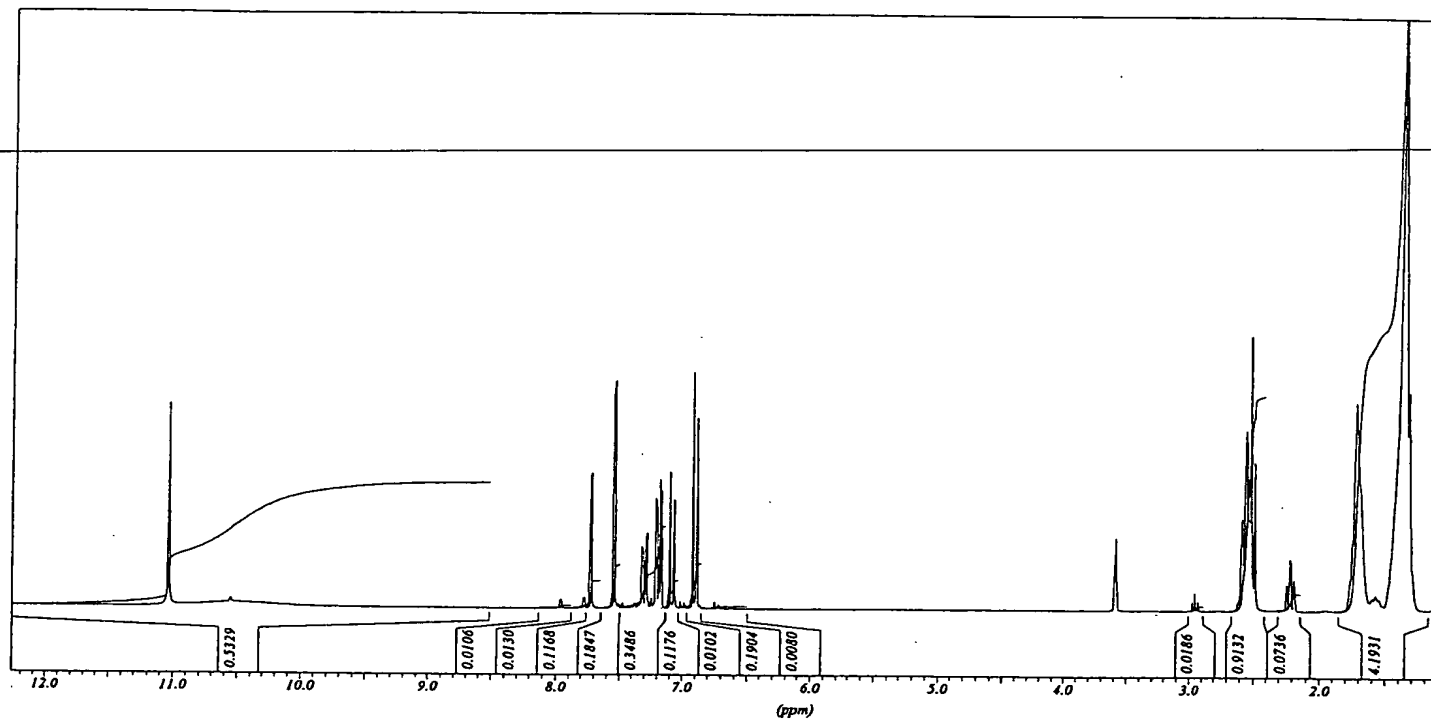
**Fig.1**



---

**THIS PAGE BLANK (USPTO)**

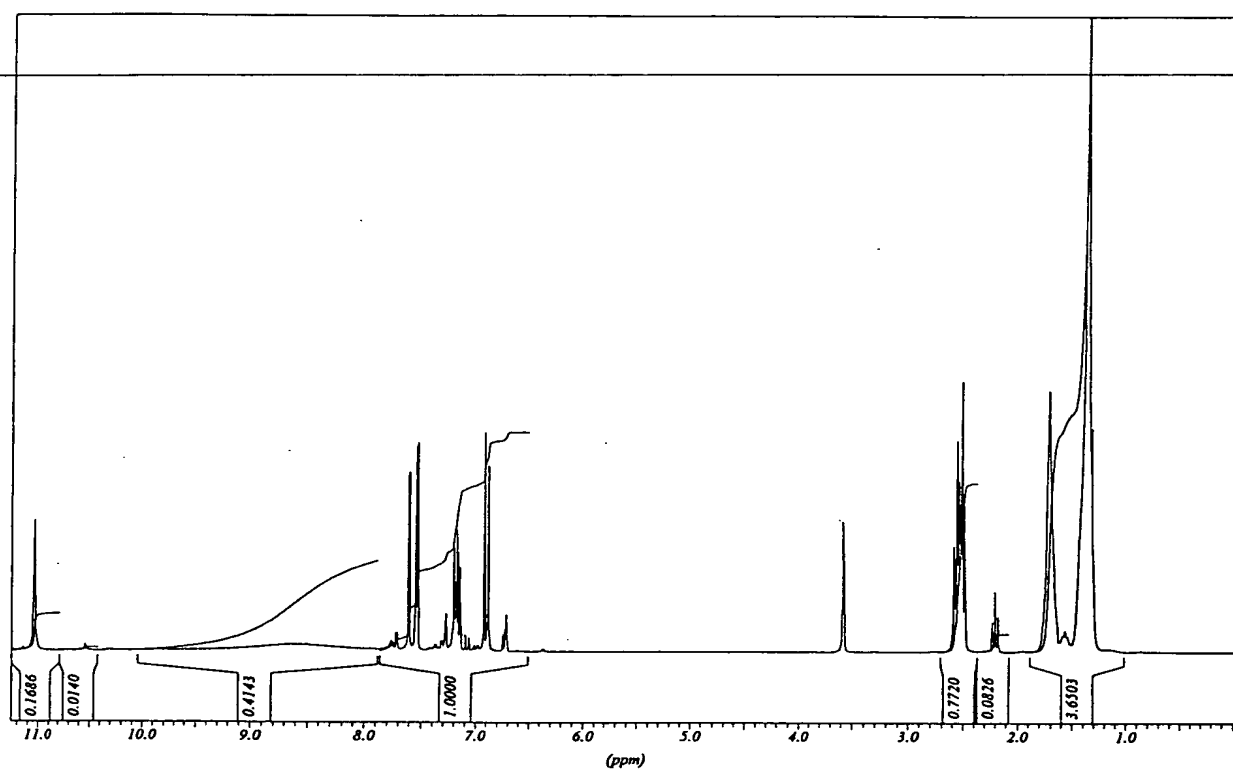
Fig. 2



---

**THIS PAGE BLANK (USPTO)**

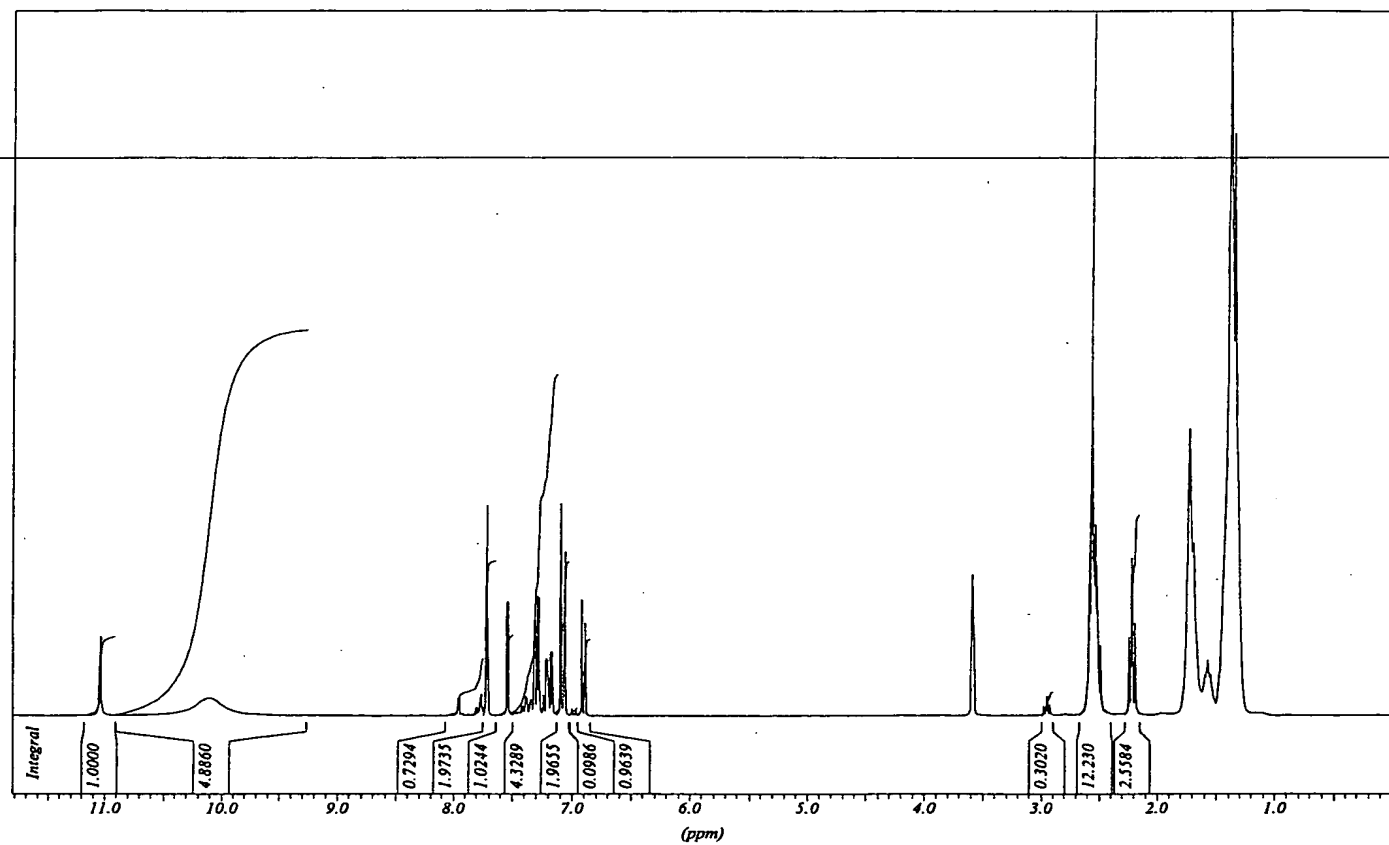
Fig. 3



---

**THIS PAGE BLANK (USPTO)**

Fig. 4

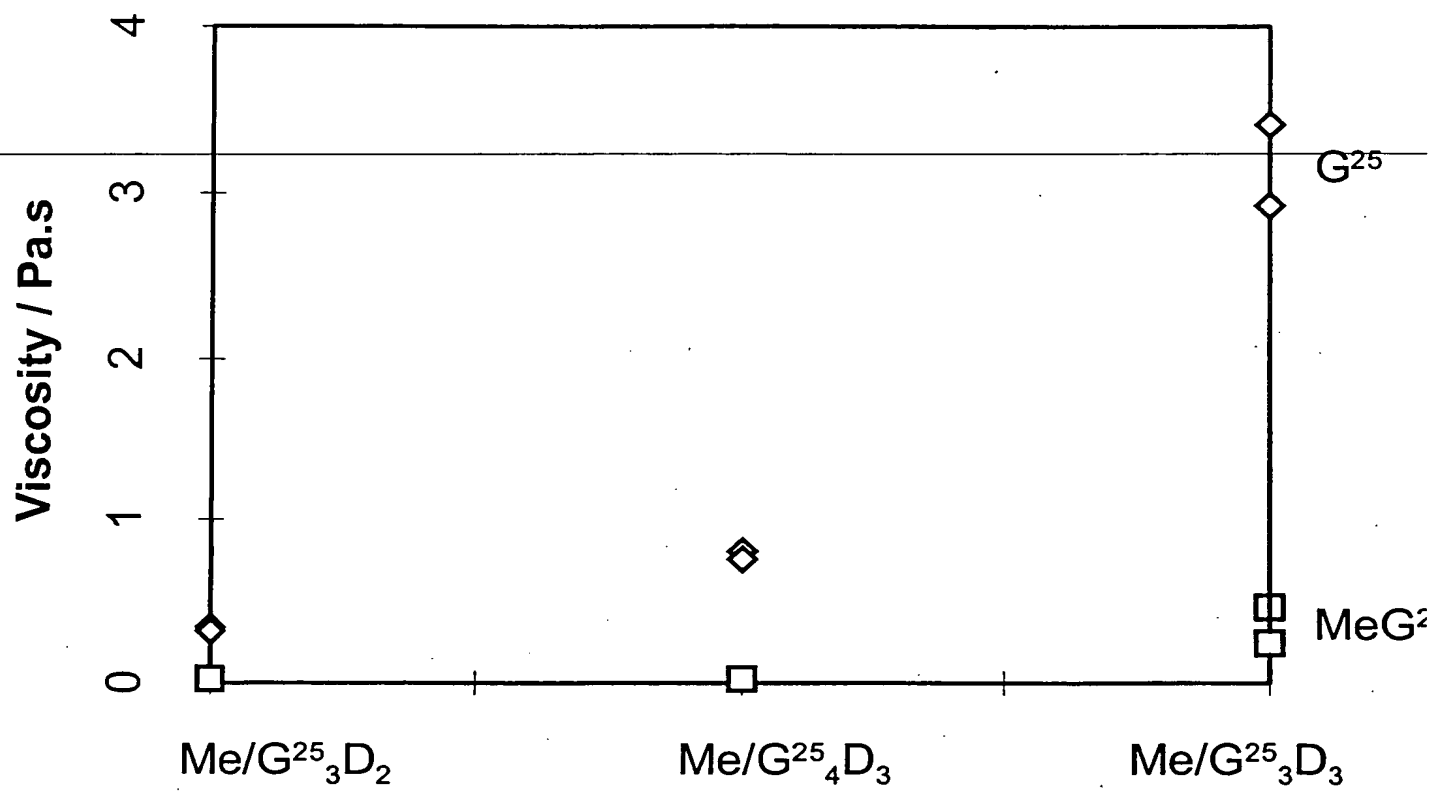


---

**THIS PAGE BLANK (USPTO)**



Fig. 5



1-8-00  
PG 4000102881  
Smith - Nephew

TH

**THIS PAGE BLANK (USPTO)**